

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

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Patent Application of:

Shui-Mei KHOO et al.

Application No.: 10/569,948

Confirmation No.: 3070

Filed: December 12, 2006

Art Unit: 1618

For: COMPOSITIONS AND METHODS FOR  
DELIVERY OF BIOLOGICALLY ACTIVE  
AGENTS

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Examiner: J. ROGERS

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Applicant(s) hereby submit(s) an Information Disclosure Statement for consideration by the Examiner.

I. LIST OF PATENTS, PUBLICATIONS OR OTHER INFORMATION

The patents, publications, or other information submitted for consideration by the Office are listed on the attached PTO/SB/08.

II. COPIES

- ☒ a. Copies of foreign patent documents, non-patent literature and other information.

III. CONCISE EXPLANATION OF THE RELEVANCE/OTHER INFORMATION

☒ a. NON-ENGLISH LANGUAGE DOCUMENTS: A concise explanation of the relevance of all non-English language patents, publications, or other information listed is as follows:

An English language abstract is provided (as a partial translation) for the following reference: JP-8-225465-A.

☒ b. ENGLISH LANGUAGE SEARCH REPORT OR FOREIGN PATENT OFFICE COMMUNICATION: An English language version of the search report or Foreign Patent Office communication that indicates the degree of relevance is attached.

☒ c. OTHER: The following additional information is provided.

US-6,071,524-A corresponds to JP-8-225465-A.

JP-2005-538151-T (Abstract only) corresponds to WO-2004/22530-A1, which was previously cited in the IDS filed on May 19, 2006.

EP-0711540-B1 and WO-2002/066014-A2, cited in the attached Canadian Examination Report, were previously cited in the IDS filed on February 28, 2006.

GARTI et al., "Solubilization of Hydrocortisone in Lyotropic Liquid Crystals", J. Dispersion Science and Technology, Vol. 12, Nos. 3 and 4, 1991, pages 321-335, and HEERTJE et al., "Liquid Crystalline Phases in the Structuring of Food Products", Lebensm.-Wiss u-Technol., Vol. 31, No. 4, 1998, pages 387-396, also cited in the attached Canadian Examination Report, were previously cited in the IDS filed on October 1, 2009.

IV. STATEMENT UNDER 37 C.F.R. § 1.97(e)

The undersigned hereby states that:

☐ a. Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than **30 days** prior to the filing of this IDS. This statement does not relate to English language

☐ a. Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than 30 days prior to the filing of this IDS. This statement does not relate to English language counterparts not listed in a communication from the foreign patent office. Such English language counterparts are provided to aid the Examiner's consideration of non-English items first cited in the communication from the foreign patent office; or

☐ b. Each item of information contained in the IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. This statement does not relate to English language counterparts not listed in a communication from the foreign patent office. Such English language counterparts are provided to aid the Examiner's consideration of non-English items first cited in the communication from the foreign patent office; or

☐ c. No item of information contained in the IDS was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of IDS was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of the IDS.

☐ d. Some of the items of information in the IDS were cited in a communication from a foreign patent office. Such items were first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS. This statement does not relate to English language counterparts not listed in a communication from the foreign patent office. Such English language counterparts are provided to aid the Examiner's consideration of non-English items first cited in the communication from the foreign patent office. As to the remaining items of information, to the knowledge of the person signing the certification after making reasonable inquiry, such remaining items were not known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this statement.

V. FEES

☐ a. This Information Disclosure Statement is being filed concurrently with the filing of a new patent application or Request for Continued Examination. No fee is required.

☐ b. This Information Disclosure Statement is being filed within three months of the filing date of an application. No fee is required.

☒ c. This Information Disclosure Statement is being filed before the mailing date of a first Action on the merits. No fee is required. If a first Office Action on the merits has issued, please consider this IDS under 37 C.F.R. § 1.97(c) and see the statement under 37 C.F.R. § 1.97(e) above. If no statement has been made, charge our deposit account for the required fee.

☐ d. This Information Disclosure Statement is being filed before the mailing date of a Final Office Action or before the mailing date of a Notice of Allowance (see 37 C.F.R. § 1.97(c)(1)).

☐ No statement. The fee as required by 37 C.F.R. § 1.17(p) is provided.

or

☐ See the above statement. No fee is required.

☐ e. This Information Disclosure Statement is being filed after the mailing date of a Final Office Action or after the mailing date of a Notice of Allowance (see 37 C.F.R. § 1.97(d)), see the statement above. The fee as required by 37 C.F.R. § 1.17(p) is provided.

VI. PAYMENT OF FEES

☐ The required fee is listed on the attached Fee Transmittal.

☒ No fee is required.

If the Examiner has any questions concerning this IDS, please contact the undersigned. If it is determined that this IDS has been filed under the wrong rule, the USPTO is requested to

consider this IDS under the proper rule and charge the appropriate fee to Deposit Account No. 02-2448.

Dated: JUN 16 2010

Respectfully submitted,

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Attachment(s):

- ☒ PTO/SB/08
- ☒ Document(s)
- ☒ Foreign Patent Office Communication
- ☐ Foreign Search Report
- ☐ Fee
- ☐ Other: